

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|  |                      |   |
|--|----------------------|---|
| In re Application of:<br>Randal Eckert, et al.           | Art Unit:            | 1645  |
| Application Serial No.: 10/706,391                       | Examiner:            | Zeman, Robert A.                              |
| Filing Date: November 12, 2003                           | Confirmation No.     | 5819  |
| For: ANTI-MICROBIAL TARGETING<br>CHIMERIC PHARMACEUTICAL | Attorney Docket No.: | 061818-5512 US02<br>(Formerly 2101363-991600) |

COMMUNICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

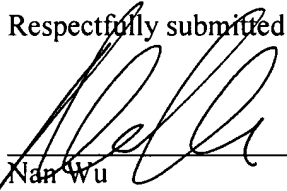
Sir:

Applicants enclose herewith a Revocation and Power of Attorney by Assignee executed on April 13, 2005 by Lorelei de Larena on behalf of The Regents of The University of California and a Statement under 37 C.F.R 3.73(b) executed by Nan Wu (Reg. No. 43,360) on behalf of the assignee, as appointed representative under the Power of Attorney.

Applicants believe that no fee is required with the submission of the enclosed document. However, if it is found that a fee is necessary, please charge the required fee to Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date: July 25, 2005

  
Nan Wu

43,360

(Reg. No.)

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Palo Alto, CA 94306-2212  
(415) 442-1340



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: See Attached Schedule A  
Serial No.: See Attached Schedule A  
Filed: See Attached Schedule A  
For: See Attached Schedule A Attorney Docket No: See Attached Schedule A

REVOCATION AND POWER OF ATTORNEY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:

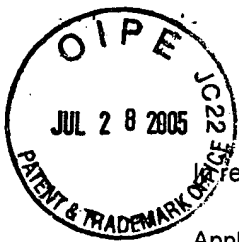
The Regents of the University of California, owner of the entire right, title and interest in, to and under the inventions listed in the attached Schedule hereby revokes all previous powers of attorney and appoints Morgan, Lewis & Bockius LLP, Customer No. 43850, and each of them, its attorneys, to prosecute these matters, and to transact all business in the Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71, provided that, if any one of these attorneys ceases being affiliated with the law firm of Morgan, Lewis & Bockius LLP as partner, counsel, or employee, then the appointment of that attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated.

Please direct all future correspondence to the address associated with Customer No. 43850, Morgan, Lewis & Bockius LLP and direct all telephone calls to Morgan, Lewis & Bockius LLP at (650) 843-4000.

Date: 4/13/05  
Assignee: The Regents of the University of California  
Signature: [Signature]  
Typed Name: Lorelei de Larena  
Position/Title: Intellectual Property Manager  
Address: 10920 Wilshire Blvd. Suite 1200  
Los Angeles, CA 90024-1406

## Schedule A- UCLA

| MLB File No.<br>UC R # in<br>brackets   | App. No. / Patent No. | Inventor(s)                           | Title   | Date Filed<br>Date Issued |
|---|-----------------------|---------------------------------------|---|---------------------------|
| 061818-5510<br>US<br>(1999-543-1)   | 09/378,577            | Shi and Anderson                      | Method for the Treatment and Prevention of Dental Caries  | 08/20/99                  |
| 061818-5511<br>US<br>(1999-543-2)   | 09/881,823            | Shi, Anderson, et al.                 | DNA Molecules and Recombinant DNA Molecules for Producing Humanized Monoclonal Antibodies to <i>S. Mutans</i> | 06/15/01                  |
| 061818-5512<br>US<br>(1999-543-3)   | 09/910,358            | Shi, Anderson, et al.                 | Fusion Proteins for Targeted Delivery of Antimicrobial Peptides   | 07/19/01                  |
| 061818-5512<br>US01<br>(1999-543-4)   | 10/077,624            | Shi, Anderson, et al.                 | Anti-Microbial Targeting Chimeric Pharmaceutical  | 02/14/02                  |
| 061818-5512<br>US02<br>(2003-509-1 according to GC records)<br>(1999-543-5 according to your records) | 10/706,391            | Randal Eckert, Qi, Shi and Anderson   | Anti-Microbial Targeting Chimeric Pharmaceutical  | 11/12/03                  |
| 061818-5509<br>US<br>(2002-409-1)   | 10/156,378            | Shi, Anderson, et al.                 | Herbs & Herbal Combinations Useful for the Treatment of Microbial Infections                                  | 05/28/02                  |
| 061818-5515<br>US<br>(2004-522-1)   | 10/795,554            | Shi, Anderson, et al.                 | Compositions Useful for the Treatment of Microbial Infection  | 03/04/04                  |
| 061818-5516<br>US<br>(2002-483-1)   | 10/164,446            | Justin Merritt, Shi, Anderson, et al. | Quorum Sensing and Biofilm Formation  | 06/06/02                  |



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Re Application of:  
Randal Eckert, et al.

Application Serial No.: 10/706,391

Filing Date: November 12, 2003

For: ANTI-MICROBIAL TARGETING CHIMERIC  
PHARMACEUTICAL

Art Unit: 1645

Examiner: Zeman, Robert A.

Confirmation No. 5819

Attorney Docket No.:  
061818-5512 US02  
(Formerly 2101363-991600)

**STATEMENT UNDER 37 C.F.R. § 3.73(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a corporation (Type of Assignee, e.g.,  
corporation, partnership, university government agency), states that it is:

- ☒ the assignee of the entire right, title, and interest; or  
☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is %

in the patent application/patent identified above by virtue of an assignment from the inventor(s) of  
the patent application/patent identified above.

- ☒ The assignment was recorded in the United States Patent and Trademark Office  
on March 19, 2004 at Reel 015120, Frame 0072, or for which a copy thereof is attached.

OR

- ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to  
the current assignee as shown below:

1. From: To:  
The document was recorded in the United States Patent and Trademark Office on  
at Reel , Frame , or for which a copy thereof is attached.

2. From: To:  
The document was recorded in the United States Patent and Trademark Office on  
at Reel , Frame , or for which a copy thereof is attached.

The undersigned whose signature and title is supplied below is authorized to act on behalf of the assignee.

Name Nan Wu  
Signature [Signature] Date July 28, 2005  
Title Attorney- Reg. No. 43,360 Telephone (415) 442-1340